

**Principal Account Holder's Information**

 Form Number: 

 Name  Mr. / Mrs. / Ms. / M/s. Investor Account Number   
 Leave blank in case of initial investment

 CNIC / NICOP / Passport No. 
**Payment Details**

 Mode of Payment  Cheque  PayOrder  Demand Draft

**Payment Instrument Details**

 Drawn on (Bank)  Instrument No. 

 Name of the Bank Branch  City 
**Investment Details**

| Name of Fund                                | Type of Units   | Unit Class           | Amount in PKR        |
|---|---|----------------------|----------------------|
| <input type="checkbox"/> Lakson Income Fund | <input type="checkbox"/> Growth <input type="checkbox"/> *Flexible Income <input type="checkbox"/> **Fixed Income | <input type="text"/> | <input type="text"/> |

Please make Cheque (account payee only) / Payorder / Demand Draft favouring 'CDC-Trustee Lakson Income Fund'

|   |   |                      |                      |
|---|---|----------------------|----------------------|
| <input type="checkbox"/> Lakson Money Market Fund | <input type="checkbox"/> Growth <input type="checkbox"/> *Flexible Income <input type="checkbox"/> **Fixed Income | <input type="text"/> | <input type="text"/> |
|---|---|----------------------|----------------------|

Please make Cheque (account payee only) / Payorder / Demand Draft favouring 'CDC-Trustee Lakson Money Market Fund'

|   |                                 |                      |                      |
|---|---------------------------------|----------------------|----------------------|
| <input type="checkbox"/> Lakson Equity Fund | <input type="checkbox"/> Growth | <input type="text"/> | <input type="text"/> |
|---|---------------------------------|----------------------|----------------------|

Please make Cheque (account payee only) / Payorder / Demand Draft favouring 'CDC-Trustee Lakson Equity Fund'

|  |  |                      |                      |
|--|--|----------------------|----------------------|
| <input type="checkbox"/> Lakson Tactical Fund (Formerly Lakson Asset Allocation Global Commodities Fund) | <input type="checkbox"/> ***Growth <input type="checkbox"/> ****Income | <input type="text"/> | <input type="text"/> |
|--|--|----------------------|----------------------|

Please make Cheque (account payee only) / Payorder / Demand Draft favouring 'CDC-Trustee Lakson Tactical Fund'

|   |   |                      |                      |
|---|---|----------------------|----------------------|
| <input type="checkbox"/> Lakson Asset Allocation Developed Markets Fund | <input type="checkbox"/> Growth <input type="checkbox"/> *Flexible Income <input type="checkbox"/> **Fixed Income | <input type="text"/> | <input type="text"/> |
|---|---|----------------------|----------------------|

Please make Cheque (account payee only) / Payorder / Demand Draft favouring 'CDC-Trustee Lakson Asset Allocation Developed Markets Fund'

|  |  |                      |                      |
|--|--|----------------------|----------------------|
| <input type="checkbox"/> Lakson Islamic Tactical Fund (Formerly Lakson Asset Allocation Emerging Markets Fund) | <input type="checkbox"/> ***Growth <input type="checkbox"/> ****Income | <input type="text"/> | <input type="text"/> |
|--|--|----------------------|----------------------|

Please make Cheque (account payee only) / Payorder / Demand Draft favouring 'CDC-Trustee Lakson Asset Allocation Emerging Markets Fund'

 Total Amount in words  Total 
**Dividend Mandate / Income Units - LTF / LITF**
 Transfer my cash dividend to my Bank Account  Issue a cheque for my cash dividend

**Flexible Income Units**
**Fixed Income Unit**

I authorize Lakson Investments Limited to redeem my units to pay my income at regular intervals based on the below marked instructions.

 Please Tick One:  Monthly  Quarterly  Half Yearly  Annually Please specify amount PKR 
**Systematic Conversion\*\*\*\*\***

 I authorize Lakson Investments Limited to convert my units from  to  at regular intervals based on the below marked instructions.

 Please Tick One:  Monthly  Quarterly  Half Yearly  Annually  Fixed Amount Conversion Please specify amount PKR 
 Regular Profit Conversion

**Units-Mode of Holding**
 Account Statement  Unit Certificate

**Declaration**

I/We hereby acknowledge having read in full and understood the relevant Trust Deeds, Offering Documents, Supplementary Offering Documents and Fund Manager Report / Fact Sheet if any that govern this transaction and further acknowledge having understood in full the risks involved.

|                      |                      |                      |                      |  |
|----------------------|----------------------|----------------------|----------------------|--|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/>                     |
| Signature            | Signature            | Signature            | Signature            | Rubber Stamp (Institutional Client only) |

**Cooling off Right Declaration (For Individual Unit Holders Only)**

I/We hereby acknowledge having read in full and understood the company procedure for exercising my cooling off right.

|                      |                      |                      |                      |
|----------------------|----------------------|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Signature            | Signature            | Signature            | Signature            |

**For Official Use Only**
**Distributor / Sub-Distributor / Facilitator**

 Code  Form No.  Date  Time  AM  PM   
 Form Received dd/mm/yy hh/mm Authorised Person's Name  Signature and Stamp 
**Transfer Agent**

 Date  Time  AM  PM   
 Form Received dd/mm/yy hh/mm Form and Attachment Verified By  Data Input By  Data Verified By  Investor Account No Allotted 
**Client Receipt**

|   |                                |                      |
|---|--------------------------------|----------------------|
| <input type="text"/>  | <input type="text"/>           | <input type="text"/> |
| Date  | Received By                    | Form Number          |
| <input type="text"/>  | <input type="text"/>           | <input type="text"/> |
| Time <input type="text"/> AM <input type="text"/> PM <input type="text"/> | Signature of Authorized Person |                      |
| hh/mm   |                                |                      |

 Mode of Payment:  Cheque  PayOrder  Demand Draft

 Payment Instrument No.  drawn on (Bank & Branch)

Please read the guidelines below before completing this form

**General Instructions**

- Please fill this form in BLOCK LETTERS.
- Upon completion and submission of this form you will be provided a receipt, please retain and ensure that it is signed and stamped by the TL (Transaction Location) representative.
- It is the responsibility of the client(s) to pay all stamp duties, taxes, and processing charges (if any) upon submission of this form.
- For additional details please refer to the Offering Documents or call us at +92.21.111-LAKSON (111-525-766).

**Detailed Instructions****Principal Account Holder Information**

- In the case of existing Unit Holder please specify your Account Number.

**Investment Details**

- Payments shall be made via cross cheque(s), debit instructions, pay order(s) or demand draft(s) in favor of "CDC -Trustee name of Fund" (e.g CDC – Trustee Lakson Income Fund).
- Cash shall NOT be accepted at any TL (Transaction Location), however investors may deposit cash at authorized banks and submit the deposit slip along with the Investment Form.
- Please ensure that the payment instrument number is clearly stated to avoid any discrepancies.
- If payment instrument is not realized due to any reason(s), the investment application shall be rejected after duly informing the investor.

**Growth Unit**

- Minimum initial investment for Growth Units is PKR 1,000/- and minimum subsequent investment is PKR 1,000/-.
- The Unit Value grows in line with the growth in the NAV, and the Unit Holders shall have the option to receive distribution income in the form of cash or bonus units, as and when declared.

**Income Unit**

- Minimum initial investment for Income Units is PKR 100,000/- and minimum subsequent investment is PKR 1,000/-
- \*Flexible Income Units (Periodic payment based on performance of the fund).
- \*\*Fixed Income Units (Periodic payment is based on requirement of the investor). In the case of Fixed Income Units, the capital invested may deplete in the case sufficient returns are not earned to cover the amount required by the Unit Holder.

**LTF/LITF - Growth Units\*\*\***

- The Unit value grows in line with the growth in NAV, and the Unit Holders shall receive additional units at prevailing price, after adjusting for taxes against Cash Dividend (if any) at the time of distribution. Additionally, the Management Company may also decide to distribute income (if any) in the form of bonus units which shall be growth units of the Fund.

**LTF/LITF - Income Units\*\*\*\***

- The Unit value grows in line with the growth in NAV, and the Unit Holders shall receive distribution income in the form of cash, if any distribution announced by the Fund. Additionally, the Management Company may also decide to distribute income (if any) in the form of bonus units which shall be income units of the Fund.

**Systematic Conversion\*\*\*\*\***

- Lakson Investments offers the facility to avail Systematic Conversion option for selected schemes (terms and conditions apply). If you are investing in a scheme which offers this facility you can avail this option by filling out this section.

**Fixed Amount Conversion Option**

- The Unit Holder can opt to convert fixed amount from the Fund into another Fund offered by the Management Company and having same Trustee at predefined intervals (i.e. monthly, quarterly, semi-annually, or annually).

**Regular Profit Conversion Option**

- The Unit Holder can opt to convert the profit amount of their investment in the Fund to another Fund offered by the Management Company and having same Trustee, at predefined intervals (i.e. monthly, quarterly, semi-annually, or annually).

**Units-Mode of Holding**

- Units shall be issued in registered, un-certificated form and shall be confirmed by an account statement issued by the Transfer Agent.
- Unit Certificate(s) shall be issued only if requested through Certificate Processing Form on payment of PKR 25/- per certificate. Payment for Certificate (s) may be combined with the payment for Unit(s). Unless indicated by the applicant, one Jumbo Certificate shall be issued.
- Please submit a completed 'Certificate Processing Form' if you require a Unit Certificate.

**Declaration**

- Signature of the Principal Account Holder and / or of All Joint Holders is required.
- In the case of Institution / Trust / NGO etc. company stamp is required.

**Cooling Off Right Procedure (For Individual Unit Holders Only)**

- Under cooling off right, individual unit holders may obtain a refund of their first time investment in a CIS within three business days from the receipt of the statement of account.
- Unit holders can submit their written request for exercising cooling off right via email/ a letter with the AMC.
- The AMC shall refund an amount equal to NAV per unit applicable on the date the cooling-off right is exercised and any sales load charged by the AMC within six business days.

**LAKSON INVESTMENTS LIMITED**

Thank you for investing with Lakson Investments Limited, please retain this receipt for your records

Lakson Square, Building No 2, Sarwar Shaheed Road, Karachi 74200.

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